

A PERFORMANCE-BASED FAILURE MODE VALIDATION PROTOCOL FOR BSL-3 LABORATORIES

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ABSA Conference

Kansas City, Missouri

October 23, 2013

Study Objectives

- Utilize an existing containment test from the flow cytometry community for BSL-3 lab validation
- Identify the extent of contamination drift from a “spill or release outside of primary containment (“SPORE-OOP-C”) in normal & failure modes
- Verify the critical evacuation points (CEPs) for lab personnel in spill and worst-case scenarios (where is the safest location after a release?)

BSL-3 Facility Verification

- CDC/NIH BMBL 5th Edition
 - ▣ Section IV/BSL3/D.9.
 - “...The laboratory is designed such that under failure conditions the airflow will not be reversed.”
 - ▣ Section V/ABSL3/D.6.
 - “...The ABSL-3 animal facility shall be designed such that under failure conditions the airflow will not be reversed.”

BSL-3 Facility Verification

- DHHS CDC Select Agent Program Clarification Statements
 - “Documentation provided to demonstrate that under exhaust fan or power failure conditions, . . ., there is no reversal of air which originates within the BSL-3/ABSL-3 lab or vivarium room that travels all of the way outside the containment boundary.”
 - “The BSL-3 anteroom is considered to be within the containment envelope.”

DHHS CDC Select Agent Program

Clarification Statements

- “A positive pressure excursion is not necessarily an airflow reversal;”
- “if a brief, weak positive pressure excursion is noted, a repeat test may be performed with airflow observation using an airflow indicator such as a smoke stick, or dry ice in a container of water, at the base of the closed laboratory door to confirm whether airflow reversal is occurring.”

Pressure Readings Over Time During Failure Modes (Trending)

- No positive differential pressure readings
 - ▣ Congrats!
- Does it reflect reality?
- What are we evaluating?
 - ▣ Worst-case event
 - ▣ Spill or release at time of HVAC Failure
 - (Why redundancy is critical)
- Researchers evacuating laboratory
 - ▣ Opening exit doors in immediate aftermath of release

What Would You Want to Know about your BSL-3 Lab?

- ❑ Does the facility keep aerosols created during a spill within the BSL-3 lab during failure?
- ❑ Will exiting the laboratory immediately after a spill carry aerosols out to the anteroom?
- ❑ Under static conditions, what is the impact of opening/closing doors adjacent to the BSL-3 lab?
- ❑ Where is the CRITICAL EVACUATION POINT (CEP)?
 - ❑ Location where aerosols don't spread to.

Failure Mode Testing

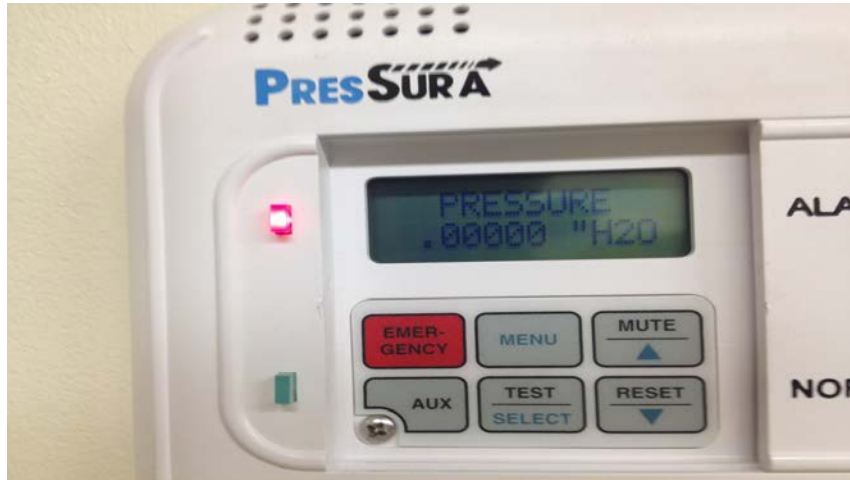


Neutrality Observed During Failure Testing



Magnehelic Gauges Read Neutral

Weak Positive Pressure Excursions



- True Neutral?
- (0.00000)



- + 1/100,000th inch H2O
- (+0.00001 "H2O)

Differential Pressure Readings (A Gold Standard?)



Monitoring pressure at the door during failure test



Manpower or machine at each entry

Road to our test

- Heavy smoke test to identify leak points for sampler placement
- Light smoke release challenge as a validation test (our likely spills will not be continuous releases)
- Review of our spill history (n=2 in 18 yrs)
- Modification of cell sorting containment test

An emergency condition . . .



Made more significant . . .



Site-Specific Assessment of Worst-Case Failure Scenario



Biohazard Release References

□ Kenny and Sabel

- Dropped 500 ml flask (1.4×10^{12} *Serratia marcescens* cells) from 20 inches in chamber

- **54,285 viable *S. marcescens*/m³**

- Kenny, M.T., and Sabel, F.L. (1968) “Particle Size Distribution of *Serratia marcescens* Aerosols Created During Common Laboratory Procedures and Simulated Laboratory Accidents.”

- Sampling air from tightly sealed chamber

- Identified small particle aerosols (most in range of 1 to 7.5 μm size)

Biohazard Release References

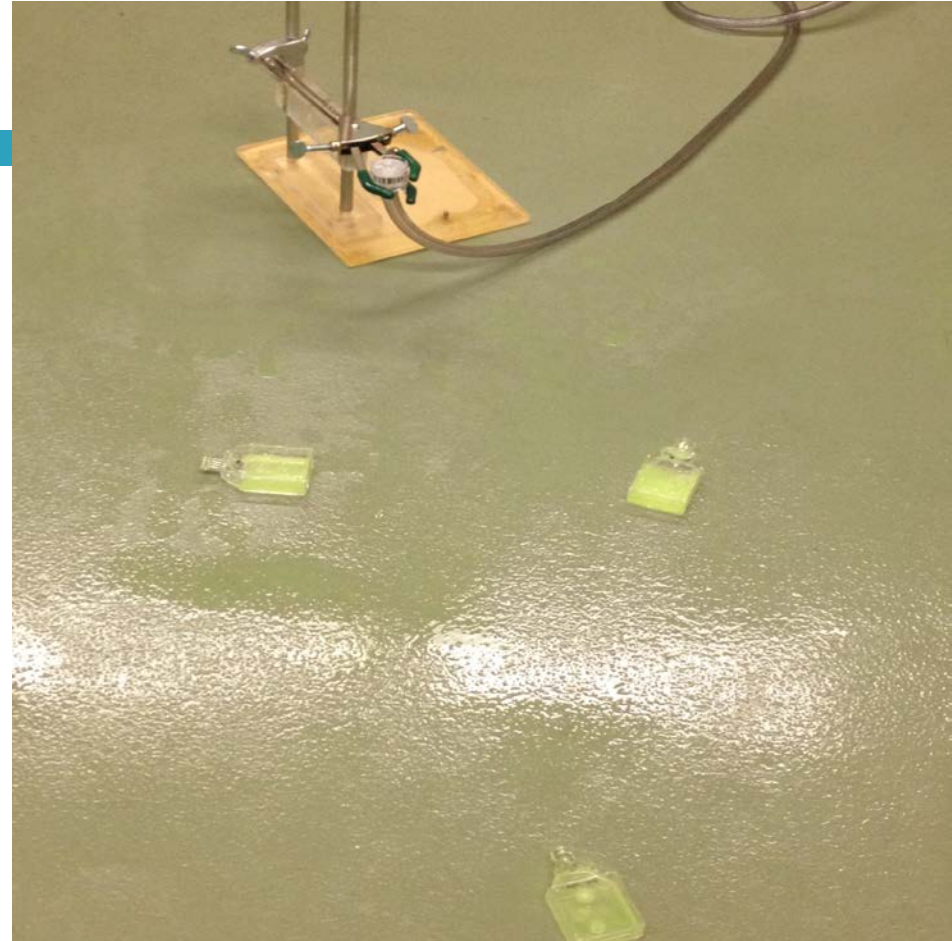
- Bennett and Parks (2005)
 - ▣ Use of Potassium Iodide aerosol tracer test used for testing biosafety cabinets to quantify BSL-3 lab protection capabilities.
 - Importance of anterooms verified
 - Volume of inflow air more important than pressure
 - Opening/closing doors will disseminate particles from the spill area to the anteroom and beyond
 - Bennett, A.M., Parks, S.R., and BenBou, J.E. (2005) Development of Particle Tracer Techniques to Measure the Effectiveness of High Containment Laboratories. *Applied Biosafety*, 10(3) pp 139-150.

Biohazard Release References

- Bennett and Parks (2006)
 - ▣ 13 Different release scenarios in small BSL-3 lab, with anteroom, and general access corridor
 - All experiments with ventilation system OFF
 - Recovered high # viable organisms in small particle size range
 - 1,000 – 10,000's of CFU/m³ recovered (*Bacillus atrophaeus*)
 - Bennett, A. and Parks, S. (2006), Microbial Aerosol Generation During Laboratory Accidents and Subsequent Assessment. *Journal of Applied Microbiology*, 100: 658-663.

Fluorescent Beads

- ❑ Small uniform particles
- ❑ 0.5, 2.0 μm
- ❑ 10^{11} particles/ml
- ❑ Use in FACS failure tests
- ❑ Can gauge spread of contamination
- ❑ Can obtain results instantly
- ❑ Easy to clean
- ❑ Inexpensive
- ❑ T25 Tissue Culture flasks, 50 ml
 - ❑ Spill mixture: ONE 50 ml flask filled with 1 ml 0.5 μm beads + 14 ml PBS, and TWO 50 ml flasks each with 1 ml 2.0 μm beads + 14 ml PBS.



Fluorescent Bead Release Test

Normal & Failure Conditions

Area		
Outside Containment Envelope		
BSL3 LAB	Entry Ante Room	HVAC On BSC On 322 beads/m³
	Pass Through Shower & Autoclave	Spill 3.7 x 10¹¹ particles
	Exit Ante Room	HVAC OFF BSC OFF 2,320 beads/m³

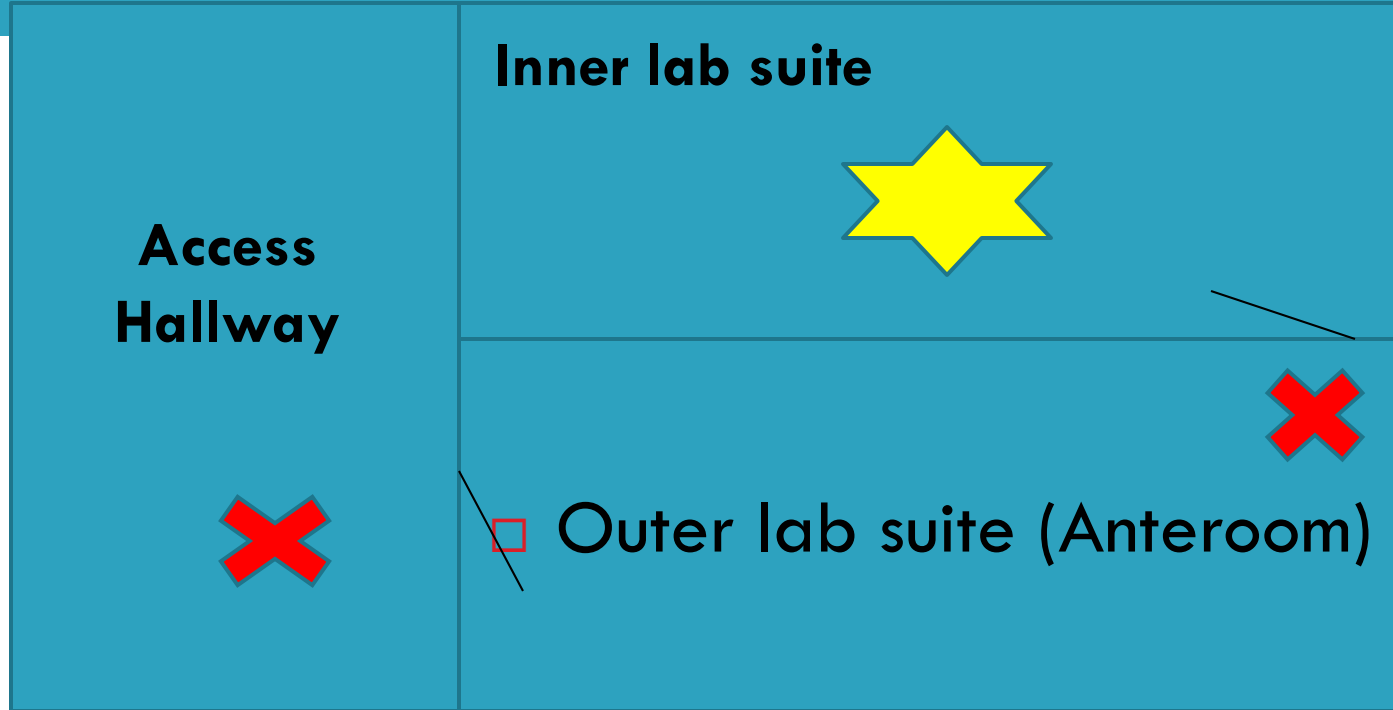
Test Lab Descriptions

TEST LOCATION	LAB DESCRIPTION
#1 – HVAC on BSL2-Enhanced Lab	Non-airborne pathogen use, outer lab as anteroom (Spill with lab exit w/ normal HVAC, BSC on)
#2 - HVAC on New BSL3	Airborne pathogen use, modern enhanced BSL3 (Spill with lab exit w/normal HVAC, BSC on)
#3 – HVAC off Old ABSL3	Not in use, Exhaust/Supply interlock pneumo valve system (Spill with lab exit w/ exhaust failure, BSC off)
#4 – HVAC off New BSL3	Airborne pathogen use, modern enhanced BSL3 (Spill with lab exit w/ exhaust failure, BSC off)
#5 – HVAC off Old BSL3	Non-airborne pathogen use, exhaust/supply interlock damper, with supply air diverted (Spill with lab exit w/Exhaust failure, BSC off)



cyclex-d cassette and differential pressure meter

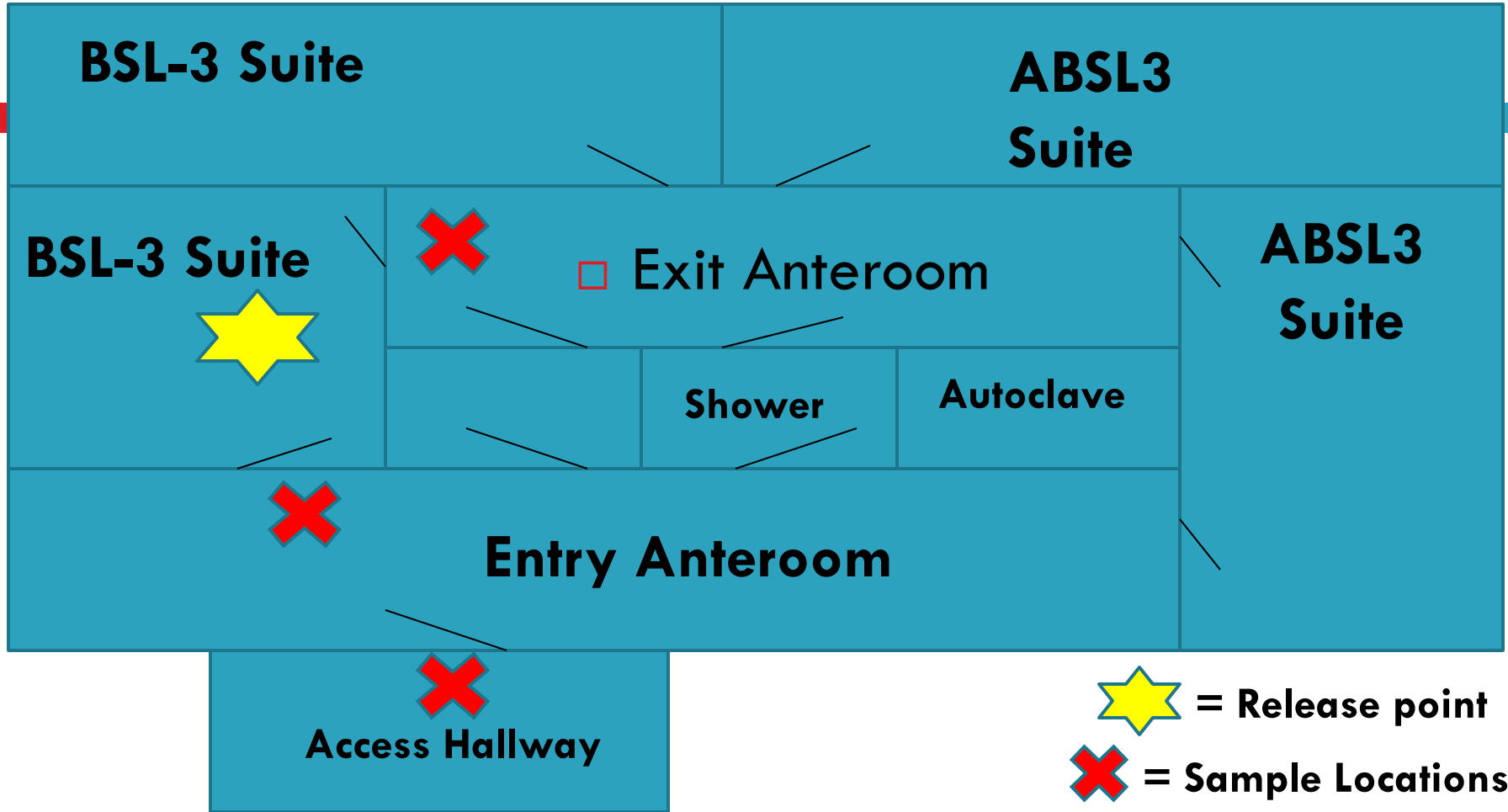
Location #1: BSL2-Enhanced Suite



 = Release point

 = Sample Locations

Location #2: Modern BSL3 Lab (HVAC On)



Location #4: Fluorescent Bead Release Test

Fan Failure Test/BSC OFF w/Lab Exit

Area Outside Containment Envelope



0 beads/m³

ABSL3
LAB

Entry Ante Room

0 beads/m³



Pass Through Shower &
Autoclave

Exit Ante Room

0 beads/m³



BSL3 LAB

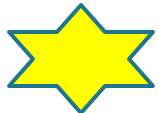
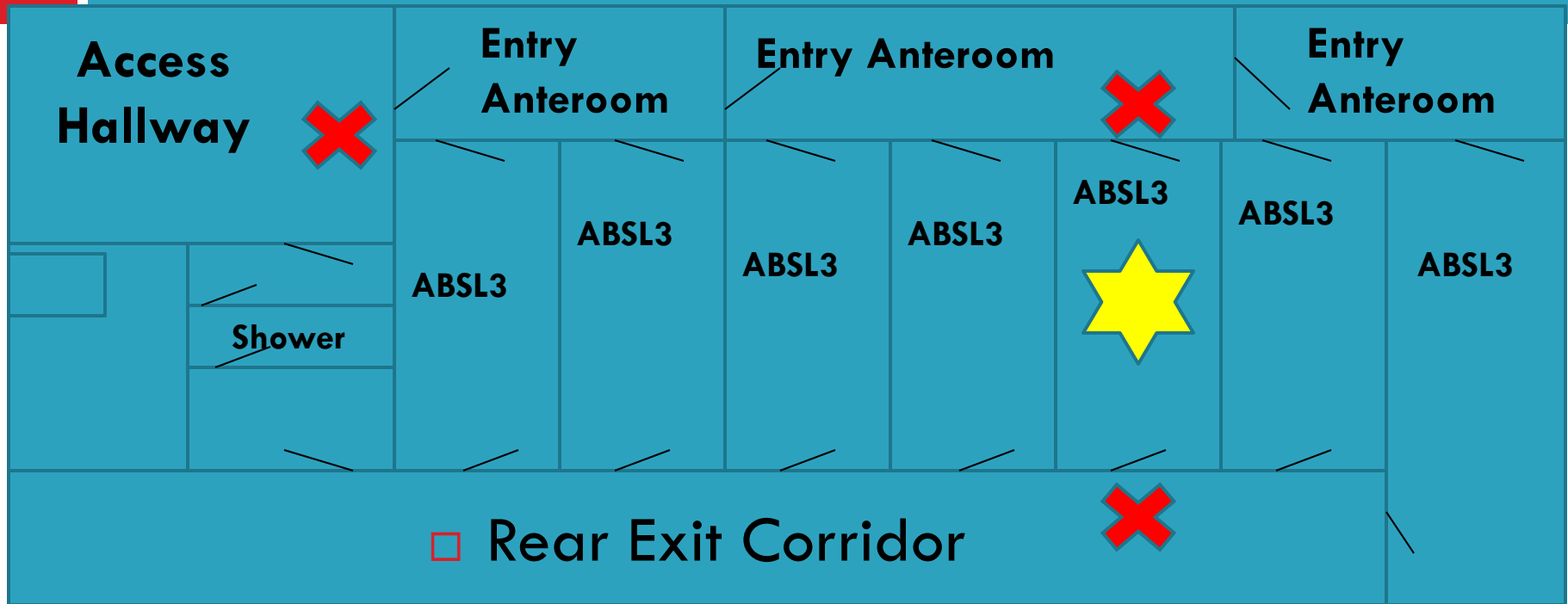


= Release point



= Sample Locations

Location #3: Old ABSL3 Lab

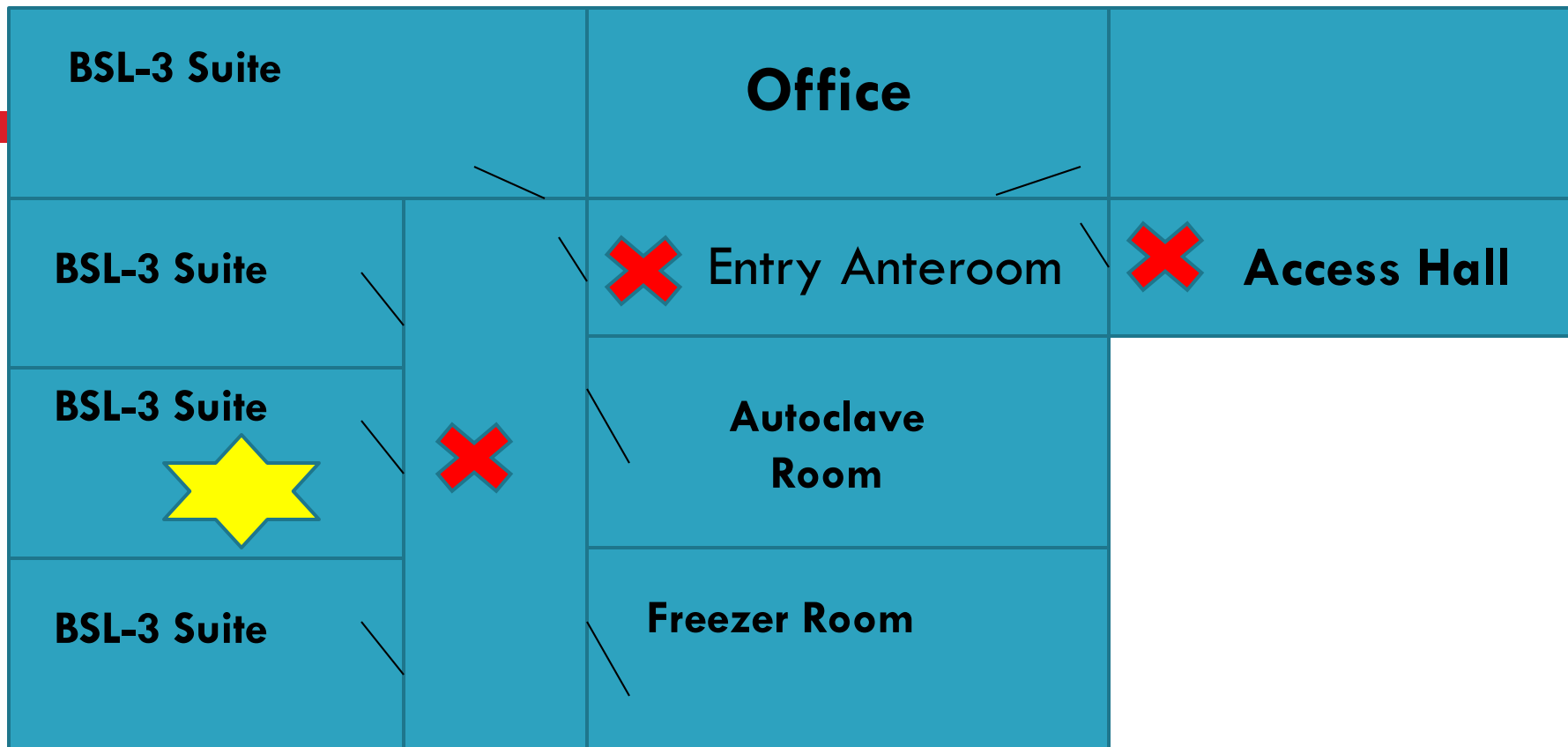


= Release point



= Sample Locations

Location #5: Old BSL3 Lab



 = Release point

 = Sample Locations

RELEASE TEST SAMPLING DATA

(Total Beads: 1 bead = 10 particles/m3)

Sample Location	Sample Time Point	Location 1 BSL2 + (Normal)	Location 2 New BSL3 (Normal)	Location 3 Aged ABSL3 (Failure)	Location 4 New BSL3 (Failure)	Location 5 Aged BSL3 (Failure)
Exit Anteroom	Baseline	0	1	0	0	0
	0' – 5'	0	0	0	0	0
	10' -15'	0	0	0	0	0
	20'- 25'	0	0	0	0	0
	30'-35'	1	0	0	0	0
Entry Anteroom	Baseline		0	0	0	1
	0' – 5'		0	0	0	1
	10' -15'		0	0	0	0
	20'- 25'	N/A	0	0	0	0
	30'-35'		0	0	0	0
Access Hallway	Baseline	0	0	0	0	0
	0' – 5'	0	0	0	0	1
	10' -15'	0	0	0	0	0
	20'- 25'	0	0	0	0	0
	30'-35'	0	0	0	0	0

Sample Images

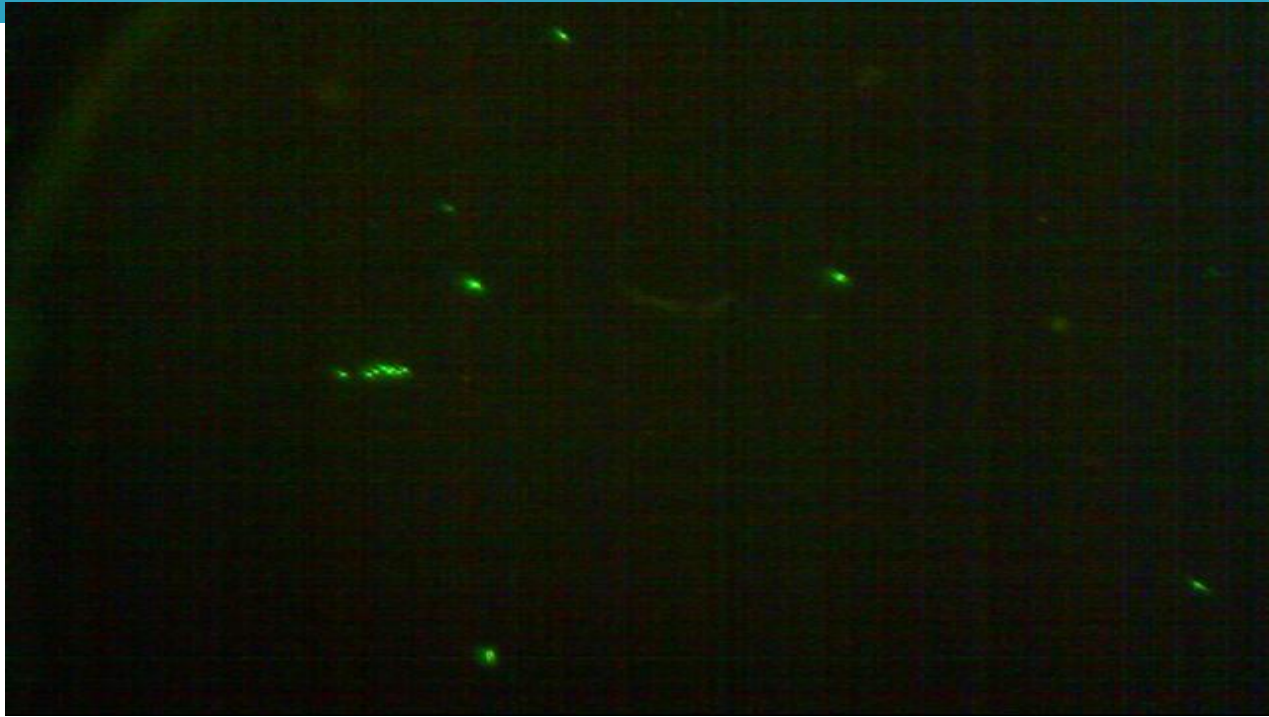
□ **Positive Single Bead**



□ **Negative Filter Result**



Image from Release Point



Results summary

- ❑ Zero contamination identified outside of containment in modern BSL3 labs
- ❑ No beads identified outside of old ABSL3 room (verification of Bennett/Parks anteroom study)
- ❑ Single beads identified in old BSL3 and BSL2-enhanced labs were likely contaminants
- ❑ Aged BSL3 facilities offered similar containment

Acknowledgements

“It Takes A Village”

- ▣ **Yale EHS**
 - ▣ Brian Mullins
 - ▣ Maryjo Lanzillotta
 - ▣ James D’Addio
 - ▣ Miguel Berrios
 - ▣ Doug Noble
 - ▣ Partha Krishnan
 - ▣ Shumin Bian
 - ▣ Daniel Ormrod
- ▣ **BSL-3 Facility**
 - ▣ Rachel Ardito
 - ▣ Laurie Lewkowicz
 - ▣ Rob Durant

Thank You!

- ▣ **Flow Cytometry Containment Core**
 - ▣ Geoffrey Lyon
- ▣ **Yale Facilities**
 - ▣ Larry Busillo
 - ▣ Jack Tiboni
 - ▣ Arthur Pocevic
 - ▣ Tim Acker
 - ▣ Darryl Redding
 - ▣ Mattison Finkle
 - ▣ George Hotchkiss
 - ▣ Chris Scranton

Equipment and Supplies

- ❑ Beads from POLYSCIENCES, INC.:
 - ❑ Catalogue # 17152-10: Fluoresbrite Yellow Green (YG) 0.5 um latex Microspheres, 3.64×10^{11} particles/ml, 10 ml/vial packaged as 2.5% aqueous suspension
 - ❑ Catalogue # 18338-5: Fluoresbrite Yellow Green (YG) 2.0 um latex Microspheres, 5.68×10^9 particles/ml, 5 ml/vial packaged as 2.5% aqueous suspension
- ❑ Phosphate buffered saline
- ❑ T25 Tissue Culture flasks, 50 ml
 - ❑ Spill mixture: 1 50 ml flask filled with 1 ml 0.5 um beads + 14 ml PBS, and 2 50 ml flasks each with 1 ml 2.0 um beads + 14 ml PBS.
- ❑ cyclex-d filter cassettes (disposable bioaerosol impaction sampler), SKU: 120135, environmental monitoring systems

Equipment and Supplies

- Air Pump: GAST Model 10-709 (Operated at 20 LPM for cyclex-d cassettes, 28.3 LPM for Anderson Impaction Sampler)
 - ▣ Gilibrator-2 Air Flow Calibrator, Sensidyne Industrial Health & Safety Equipment
- Shortridge Multimeter ADM-880C, Shortridge Instruments, Inc.
- Smoke Test
 - ▣ Roscoe Fog Machine, Model #OMEGA XT
 - ▣ TSI, Inc. DustTrak II, Model 8532
 - ▣ TSI, Inc. AeroTrak Handheld Particle Counter, Model 9303

Thank You!



- Questions after presentations