

Canada's Biosecurity Oversight Strengthening Biosecurity Risk Assessment

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PROTECTING AND EMPOWERING CANADIANS
TO IMPROVE THEIR HEALTH



Objectives

- Introduce the Public Health Agency of Canada's Centre for Biosecurity
- Describe the Canadian Biosecurity Oversight Program Elements
- Introduce the Biosecurity Risk Assessment Methodology

About the Public Health Agency of Canada's Centre for biosecurity

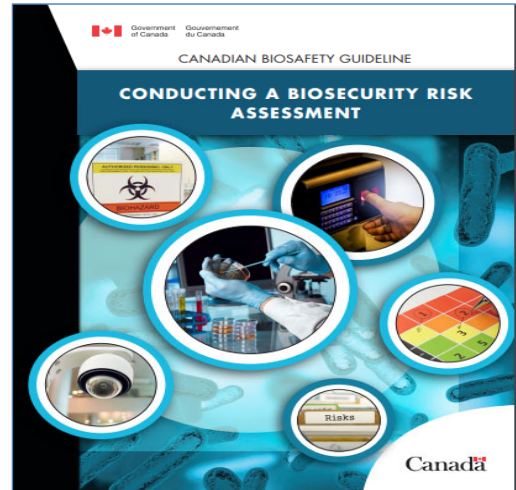
- National authority for biosafety and biosecurity of human pathogens and toxins
- WHO Collaboration Centre for Biosafety and Biosecurity
- Responsible for the administration and enforcement of the:
 - *Human Pathogens and Toxins Act* (HPTA);
 - *Human Pathogens and Toxins Regulations* (HPTR); and
 - sections of the *Health of Animals Act* (HAA) and the *Health of Animals Regulations* (HAR).
- Purpose of the HPTA: to establish a safety and security regime to protect the health and safety of the public against risks posed by human pathogens and toxins
- A risk-based licensing framework was designed to improve federal oversight of human pathogens and toxins in Canada
- Anyone conducting controlled activities with human pathogens and toxins must obtain a valid "Pathogen and Toxin Licence"

Pillars of Biosecurity Oversight

- Biosecurity Risk Assessments
 - Required for all licence holders
 - Purpose is to identify and prioritize biosecurity risks, and to address those risks through the implementation of mitigation measures commensurate to the level of risk described in the Biosecurity Plan.
- Biosecurity Plan
 - Required for all licence holders
 - Purpose of a biosecurity plan is to prevent the loss, theft, misuse, diversion, or intentional release of biological assets and related facility assets. The plan describes the measures implemented to address the biosecurity risks identified in the Biosecurity Risk Assessment.
- HPTA Security Clearances
 - Human Pathogen and Toxins Act (HPTA) Security Clearance are required for all people who have access to a subset of high-risk biological agents (known as security sensitive biological agents or "SSBAs").
 - Purpose is to provide assurance that individuals who have access to SSBAs have been assessed to not pose a security or diversion risk as a result of their access.

Conducting a Biosecurity Risk Assessment Guideline

- Overview
 - This guideline describes best practices for conducting a biosecurity risk assessment in an organization where human or animal pathogens, toxins, or other regulated infectious material are handled or stored.
 - It allows for the evaluation of appropriate mitigation measures identified via the risk assessment process.
- Who is this guide for
 - Pathogen and Toxin Licence holders
 - Biological Safety Officers
 - Personnel in regulated facilities



Biosecurity Risk Assessment Steps

- Risk assessment methodology involves the following five steps:
 1. Develop an asset inventory
 2. Assess biosecurity event likelihood
 3. Assess biosecurity event consequences
 4. Analyze
 5. Determine risk tolerance
- The components within this guidelines are assessed using a scale of five values.

| | | | | | |
|--------------------------------|----------|-----|--------|------|-----------|
| Component Value (Quantitative) | 1 | 2 | 3 | 4 | 5 |
| Component Value (Qualitative) | Very Low | Low | Medium | High | Very High |

Preparation and Asset Inventory

- Preparation
 - Gathering documentation
 - Developing an understanding of the threat environment
 - Selecting the assessment team
 - Schedule

- Asset Inventory
 - Identifying assets

| Asset Class | Asset Category | Asset Group | Component | SSBA | Risk Group | Quantity | State | Ease of Use | Location | Dual-Use Potential | Priority Value |
|-------------|----------------|-------------|------------------------------|------|------------|----------------|------------|-------------|-----------|--------------------|----------------|
| Tangible | Biological | Virus | HIV | No | 3 | 10 x 1ml tubes | Frozen | Difficult | Freezer A | No | Medium (3) |
| Intangible | Information | Inventory | Pathogen and Toxin Inventory | N/A | N/A | 1 | Electronic | N/A | N/A | N/A | High (4) |
| People | Employee | Scientist | Professor | N/A | N/A | 20 | N/A | N/A | N/A | Yes | Very High (5) |

Likelihood

- Likelihood assessment involves:
 - Identification of biosecurity event
 - Identifying adversaries
 - determining their motive, means and capability
 - Identifying the targeted assets
 - Determining the frequency
 - Time and location
- A value of one to five is assigned for adversary motive, means and capability, and biosecurity event frequency in proximity and at a distance.
- The likelihood value is an average of the four elements, rounded to the nearest whole number.

| Scenario | Biosecurity Event Category | Biosecurity Event Group | Adversary Class | Adversary Category | Adversary | Targeted Assets | Likelihood Assessment | | | | |
|---|----------------------------|-------------------------|-----------------|--------------------|---------------------|-----------------|------------------------|----------------------|-----------------------|----------------------|------------------|
| | | | | | | | Adversary Motive Value | Adversary Capability | Frequency (Proximity) | Frequency (Distance) | Likelihood Value |
| Intentional release of infected animal by animal rights group | Deliberate | Intentional release | Outsider | Activist | Animal Rights Group | Animal | Very High (5) | Very Low (1) | Low (2) | Medium (3) | Medium (3) |

Consequence

- Consequence assessment involves:
 - Assessing the impact to public health, animal health and the organization
 - Assessing vulnerabilities and effectiveness of mitigation measures
 - Pre-biosecurity event and post-biosecurity event
- A value of one to five is assigned for the impact to public health, animal health, the organization, and for the vulnerability of pre- and post-biosecurity event.
- The consequence value is the product of the impact value multiplied by the vulnerability value.

| Asset | Impact | Impact Value | Mitigation Measure | Vulnerability Value |
|---------|--|--------------|-----------------------|---------------------|
| Animals | High Public Health; High Animal Health; Medium to organization | High (4) | Access Control System | Very Low (1) |
| | | | Security Guards | Low (2) |

Analyze Risk

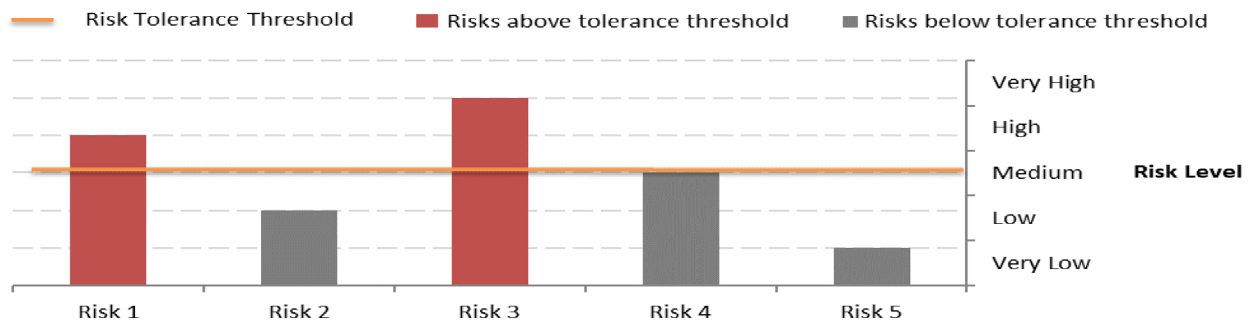
- Analyzing risk involves:
 - Risk calculation
 - Creating the risk register
- Risk is calculated by multiplying the likelihood value by the consequence value (i.e. impact and vulnerability).

| Risk Range | 1 – 4 | 5 – 18 | 19 – 34 | 35 – 74 | 75 – 125 |
|------------|----------|--------|---------|---------|-----------|
| Risk Level | Very Low | Low | Medium | High | Very High |

| ASSET | LIKELIHOOD | | | CONSEQUENCE | | | | RISK LEVEL |
|---------|-------------------|--------------------|------------------|--|--------------|-----------------------|---------------------|-------------|
| | Biosecurity Event | Adversary | Likelihood Value | Impacts | Impact Value | Mitigation Measure | Vulnerability Value | |
| Animals | Release | Outsider, Activist | Medium (3) | High Public Health; High Animal Health; Medium to Organization | High (4) | Access Control System | Very Low (1) | Low (12) |
| | | | | | | Security Guards | Low (2) | Medium (24) |

Risk Tolerance

- Risk tolerance involves:
 - Determining the risk threshold
 - Accepting risk
 - Implementation of additional mitigation measures for unacceptable risks



Resources

Available on the Public Health Agency of Canada website

<https://www.canada.ca/en/public-health/services/laboratory-biosafety-biosecurity.html>

- Canadian Biosafety Guidelines
 - Developing a Comprehensive Biosecurity Plan
 - Conducting a Biosecurity Risk Assessment
 - In consultation: Dual-Use in Life Science Research.
- E-learning Portal
 - Free online training and resources on laboratory biosafety and biosecurity
 - Courses, videos, posters, interactive biocontainment tool
 - Upcoming courses:
 - Biosecurity Risk Assessment
 - Insider and Outsider Threat

Thank you

**Public Health Agency of Canada
Centre for Biosecurity**

<https://www.canada.ca/en/public-health/services/laboratory-biosafety-biosecurity.html>

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