

An Expanded Evidence-based Risk Model to Help Prevent a Facility-Associated Release of Poliovirus: The Mitigation Power of Environmental Controls Ryan Ritterson, Ph.D.¹, Kortney Gustin, Ph.D.^{2,3}, Christy Ottendorfer, Ph.D.²

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Abstract

As the globe nears total eradication of wild poliovirus, there is a reintroduction risk due to facility-associated release of poliovirus, necessitating biosafety enhancements to prevent this potential outcome. At the request of the US National Authority for Containment of Poliovirus (US NAC), within the Centers for Disease Control and Prevention (CDC), Gryphon Scientific developed a quantitative risk model to understand the specific risks of various loss of containment (LOC) pathways in poliovirus facilities to better inform choices of risk mitigation measures. In this model, we defined consequential LOCs as events that result in poliovirus (a) laboratory acquired infections (LAIs), (b) infectious material (IM) leaving the laboratory on a person and/or (c) IM leaving the laboratory in the wastewater stream. We expressed the outcome of LOCs in terms of their route, likelihood of occurrence, amount escaping the laboratory boundary and probability that any laboratory worker or community member is infected by the IM or by human transmission caused by a facility associated release . To conduct this analysis, we built a quantitative, stochastic, event tree-based model that was parameterized using available primary data. In an earlier stage of the model, we investigated the relative risk mitigation potential of enhanced PPE vs exit showers (as required by the World Health Organization's poliovirus biosafety standard known as GAPIII) and demonstrated that enhanced PPE provides better risk mitigation in nearly every LOC we simulated.¹ In this earlier model, scenarios began with contamination already on the body of the worker. In recent updates, we now initiate the model earlier, as the release first occurs, and incorporate environmental controls (principally, the biosafety cabinet including both class II and class III cabinets) as potential risk mitigators that can reduce the severity of LOCs or prevent them entirely. Here, we present results from this latest version of the model and compare the relative risks of facility associated release with and without environmental controls in use. Overall, these updates to the model expand the "toolkit" available for biosafety assessments. They also provide additional insights into how environmental controls and PPE can best mitigate the risk of facility-associated reintroduction of poliovirus, helping secure the global public health achievement that is polio eradication.

Model Architecture

• We built a modularized model that simulates the fate of infectious material from a loss of containment event through potential infections in the community



References

- Ritterson R et al. (2020) Distinguishing the Risk Reduction Potential of Exit Showers Versus Enhanced PPE in Poliovirus Essential Facilities, Final Report. Prepared for US National Authority for Containment, Centers for Disease Control and Prevention
- Osborne R et al. (1999) Performance of open-fronted microbiological safety cabinets: the value of operator protection tests during routine servicing. J Appl Microbiol. 86 (6): 962-970.
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BSCs May Not Mitigate Airborne Hazards If Mistakes or Faults Occur During Their Use

- Our model predicts workers may be at risk of infection even while using a BSC if mistakes or failures occur
- Some BSCs may not be resilient to airflow disruptions³, dramatically reducing protection if disruptions occur
- Though we modeled only poliovirus, we expect these risk to be present—or even exacerbated for other pathogens, especially respiratory pathogens



Aerosol Tight Centrifuge Caps Mitigate Risk Only if **Opened** in a **BSC**

- If aerosol-tight centrifuge caps are opened in a BSC, our model predicts they provide significant mitigation against airborne exposure
- If they are opened outside a BSC, workers may be at risk of infection



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Typical Class II BSC

No BSC or BSC off

Rapid Operator Arm Movement

Non-Resilient BSC with Room Air Disruption (Worker Walks By, Fridge Open, etc.)

Rotor/Bucket Caps Opened In BSC

Rotor/Bucket Caps Opened Outside BSC Worker Wearing Surgical Mask

Rotor/Bucket Caps Opened Outside BSC Worker Wearing N95 Respirator

Especially Risky

- further exacerbating risk

Outcomes of 100,000 Simulations

No Liquid Expos Liquid Expos

		10	100	1000	1e4	1e5	1e6	1e7	1e8	1e9	1e10
	10	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	18.8%	41.6%	78.5%
ody	100	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	5.7%	24.8%	57.3%	80.5%
ň	1000	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	9.8%	31.6%	65.8%	89.6%
on (o	1e4	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	20.4%	42.2%	72.5%	93.4%
D ₅	1e5		0.0%	0.0%	0.0%	0.0%	10.1%	28.4%	57.6%	82.0%	95.8%
of	1e6			0.0%	0.0%	0.0%	17.1%	43.1%	69.5%	88.4%	97.5%
	1e7				0.0%	11.8%	20.4%	55.7%	81.5%	95.1%	99.0%
no	1e8					20.4%	49.5%	63.8%	87.4%	97.2%	99.5%
Am	1e9						64.7%	86.6%	94.1%	98.6%	99.8%
	1e10							94.2%	97.4%	99.5%	99.9%

Conclusions

- tight caps for all centrifuge spins.

 Data Gaps Gaps in average exposure response of the second se	S railable biosafety data hinder our ab regarding the use of primary contain vided by PPE	ility to model facets of personnel ment and the additional mitigation
Data Gap	Question	Experiments Needed
<u>Spill/splashes</u> in a BSC	How well do BSCs contain liquid spill/splash?	Simulated spills/splashes in BSC with fluorescent tracer
<u>BSC</u> installation conditions	Does BSC location and air fluctuations interfere with proper operation and effectiveness?	Operator protection measurements of BSCs in the field
<u>BSC model</u> <u>variation</u>	Do BSC manufacturer specifications affect performance?	Operator protection measurements of BSCs in the field



Combination Hand/Airborne Exposures are

We predict that moderate exposures entailing both hand contamination and an airborne hazard are as risky as more extreme exposures of either type Because workers may contaminate their hands trying to clean up spills that generate aerosols, workers and facilities should be especially mindful of these risks Compared to other body parts, contaminated hands more often lead to exposures,

Probability of Infection if Combination Exposure Occurs:

1. Even with a BSC present, facilities should consider respiratory protection or additional containment vessels while working with higher titer viruses (>10[°] TCID₅₀/ml), or when performing procedures expected to generate greater than routine airborne hazards

2. Personnel should work in a BSC whenever possible and use aerosol-

3. Workers should wear arm PPE and double gloves while using a BSC, because the BSC does not protect these parts of the body.

4. Facilities should factor the enhanced risk of hand/airborne combinations into risk assessments for spills, sprays, and other losses of primary containment where they could happen.